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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/713,737	11/13/2003	David C. Conway-Jones	GB920020074US1	2702
35525 7590 10/31/2008 IBM CORP (YA) CO YEE & ASSOCIATES PC			EXAMINER	
			WALSH, JOHN B	
P.O. BOX 802333 DALLAS, TX 75380			ART UNIT	PAPER NUMBER
			2451	
			NOTIFICATION DATE	DELIVERY MODE
			10/31/2008	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail  $\,$  address(es):

ptonotifs@yeeiplaw.com

## Application No. Applicant(s) 10/713,737 CONWAY-JONES ET AL. Office Action Summary Examiner Art Unit John B. Walsh 2451 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 01 August 2008. 2a) ☐ This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-21 and 23 is/are pending in the application. 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1-8, 10-18, 20,21 and 23 is/are rejected. 7) Claim(s) 9 and 19 is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

Information Disclosure Statement(s) (PTO/S5/08)
 Paper No(s)/Mail Date \_\_\_\_\_\_

Paper No(s)/Mail Date.

6) Other:

5) Notice of Informal Patent Application

Art Unit: 2451

#### DETAILED ACTION

#### Claim Rejections - 35 USC § 102

 The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(e) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

 Claims 1-4, 7, 10-14, 17, 20, 21 and 23 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent No. 6,996,599 to Anders et al.

As concerns claims 1, 2, 12 and 23 an arrangement for controlling communication between a client application executing on a client unit (col. 3, line 60) and an impermanently connected server in a network (col. 4, lines 1-3), comprising: at least one client proxy (col. 4, lines 6-20), operable when the client unit is not connected for communication with the server, for receiving information from a client application (col. 4, line 10) that requires a response from the server for the application to continue operation (col. 4, lines 29-32; col. 4, lines 45-50), for storing (col. 4, lines 28-32; col. 5, lines 5-27) said information, for generating a substitute server response and sending the substitute response to the client application to allow the client application to continue operation (col. 4, lines 1, 40-55; col. 5, lines 5-27); and means for relaying the information to the server when a communications link there between is established (col. 4, lines 51-55; col. 5, lines 5-27).

Application/Control Number: 10/713,737

Art Unit: 2451

As concerns claims 3 and 13, further comprising server proxy means (col. 5, lines 5-27) coupled to the server for cooperating with the client proxy to relay said information between the client unit and the server when connectivity there between is established.

As concerns claims 4 and 14, further comprising messaging means (request and responses across network; fig. 1a) coupled between the client unit and the server for relaying said information as messages across the connectivity link.

As concerns claims 7 and 17, HTTP (col. 6, lines 6, 30).

As concerns claim 10 and 20, further comprising means for notifying (col. 5, lines 22-27) a user of the client unit of an outcome of the relay of information between the client unit and the server.

As concerns claims 11 and 21, wherein the client unit comprises a portable computing device (col. 5, lines 31-34).

### Claim Rejections - 35 USC § 103

- The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all
  obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- Claims 5, 6, 8, 15, 16 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,996,599 to Anders et al. as applied above in view of U.S. Patent No. 6.611.861 to Schairer et al.

Anders et al. '599 do not explicitly disclose POP3, FTP and SMTP protocols.

Schairer et al. '861 teach POP3, FTP and SMTP protocols for transmitting information (abstract).

It would have been obvious to one having ordinary skill in the art at the time of the invention to provide the system of Anders et al. '599 with POP3, FTP and SMTP protocols, as taught by Schairer et al. '861, in order to provide support for additional protocols increasing availability and service for users. Such a modification is a combination of known elements yielding predictable results.

#### Allowable Subject Matter

5. Claims 9 and 19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

### Response to Arguments

 Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

 In view of the Appeal Brief filed on August 1, 2008, PROSECUTION IS HEREBY REOPENED. A new grounds of rejection is set forth above. Application/Control Number: 10/713,737

Art Unit: 2451

To avoid abandonment of the application, appellant must exercise one of the following two options:

- (1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,
- (2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below.

 Any inquiry concerning this communication or earlier communications from the examiner should be directed to John B. Walsh whose telephone number is 571-272-7063. The examiner can normally be reached on Monday-Thursday from 8:00-6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Follansbee can be reached on 571-272-3964. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-

Application/Control Number: 10/713,737

Art Unit: 2451

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Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from

a USPTO Customer Service Representative or access to the automated information system, call

800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/John Follansbee/ SPE 2451

/John B. Walsh/

Primary Examiner, Art Unit 2451

Page 6